Marxism and Literature

RAYMOND WILLIAMS

120 Marxism and Literature

As a result of this displacement, the formations and their work are not seen as the active social and cultural substance that they quite invariably are. In our own culture, this form of displacement, made temporarily or comparatively convincing by the failures of derivative and superstructural interpretation, is itself, and quite centrally, hegemonic.

8. Dominant, Residual, and Emergent

The complexity of a culture is to be found not only in its variable processes and their social definitions—traditions, institutions, and formations—but also in the dynamic interrelations, at every point in the process, of historically varied and variable elements. In what I have called 'epochal' analysis, a cultural process is seized as a cultural system, with determinate dominant features: feudal culture or bourgeois culture or a transition from one to the other. This emphasis on dominant and definitive lineaments and features is important and often, in practice, effective. But it then often happens that its methodology is preserved for the very different function of historical analysis, in which a sense of movement within what is ordinarily abstracted as a system is crucially necessary, especially if it is to connect with the future as well as with the past. In authentic historical analysis it is necessary at every point to recognize the complex interrelations between movements and tendencies both within and beyond a specific and effective dominance. It is necessary to examine how these relate to the whole cultural process rather than only to the selected and abstracted dominant system. Thus 'bourgeois culture' is a significant generalizing description and hypothesis, expressed within epochal analysis by fundamental comparisons with 'feudal culture' or 'socialist culture'. However, as a description of cultural process, over four or five centuries and in scores of different societies, it requires immediate historical and internally comparative differentiation. Moreover, even if this is acknowledged or practically carried out, the 'epochal' definition can exert its pressure as a static type against which all real cultural process is measured, either to show 'stages' or 'variations' of the type (which is still historical analysis) or, at its worst, to select supporting and exclude 'marginal' or 'incidental' or 'secondary' evidence.

Such errors are avoidable if, while retaining the epochal hypothesis, we can find terms which recognize not only 'stages' and 'variations' but the internal dynamic relations of any actual process. We have certainly still to speak of the 'dominant' and the 'effective', and in these senses of the hegemonic. But we find that we have also to speak, and indeed with further

122

differentiation of each, of the 'residual' and the 'emergent', which in any real process, and at any moment in the process, are significant both in themselves and in what they reveal of the characteristics of the 'dominant'.

By 'residual' I mean something different from the 'archaic'. though in practice these are often very difficult to distinguish. Any culture includes available elements of its past, but their place in the contemporary cultural process is profoundly variable. I would call the 'archaic' that which is wholly recognized as an element of the past, to be observed, to be examined, or even on occasion to be consciously 'revived', in a deliberately specializing way. What I mean by the 'residual' is very different. The residual, by definition, has been effectively formed in the past, but it is still active in the cultural process, not only and often not at all as an element of the past, but as an effective element of the present. Thus certain experiences, meanings, and values which cannot be expressed or substantially verified in terms of the dominant culture, are nevertheless lived and practised on the basis of the residue—cultural as well as social—of some previous social and cultural institution or formation. It is crucial to distinguish this aspect of the residual, which may have an alternative or even oppositional relation to the dominant culture, from that active manifestation of the residual (this being its distinction from the archaic) which has been wholly or largely incorporated into the dominant culture. In three characteristic cases in contemporary English culture this distinction can become a precise term of analysis. Thus organized religion is predominantly residual, but within this there is a significant difference between some practically alternative and oppositional meanings and values (absolute brotherhood, service to others without reward) and a larger body of incorporated meanings and values (official morality, or the social order of which the other-worldly is a separated neutralizing or ratifying component). Again, the idea of rural community is predominantly residual, but is in some limited respects alternative or oppositional to urban industrial capitalism, though for the most part it is incorporated, as idealization or fantasy, or as an exotic—residential or escape—leisure function of the dominant order itself. Again, in monarchy, there is virtually nothing that is actively residual (alternative or oppositional), but, with a heavy and deliberate additional use of the archaic, a residual function has

been wholly incorporated as a specific political and cultural function—marking the limits as well as the methods—of a form of capitalist democracy.

A residual cultural element is usually at some distance from the effective dominant culture, but some part of it, some version of it—and especially if the residue is from some major area of the past—will in most cases have had to be incorporated if the effective dominant culture is to make sense in these areas. Moreover, at certain points the dominant culture cannot allow too much residual experience and practice outside itself, at least without risk. It is in the incorporation of the actively residual -by reinterpretation, dilution, projection, discriminating inclusion and exclusion—that the work of the selective tradition is especially evident. This is very notable in the case of versions of 'the literary tradition', passing through selective versions of the character of literature to connecting and incorporated definitions of what literature now is and should be. This is one among several crucial areas, since it is in some alternative or even oppositional versions of what literature is (has been) and what literary experience (and in one common derivation, other significant experience) is and must be, that, against the pressures of incorporation, actively residual meanings and values are sustained.

By 'emergent' I mean, first, that new meanings and values, new practices, new relationships and kinds of relationship are continually being created. But it is exceptionally difficult to distinguish between those which are really elements of some new phase of the dominant culture (and in this sense 'speciesspecific') and those which are substantially alternative or oppositional to it: emergent in the strict sense, rather than merely novel. Since we are always considering relations within a cultural process, definitions of the emergent, as of the residual, can be made only in relation to a full sense of the dominant. Yet the social location of the residual is always easier to understand. since a large part of it (though not all) relates to earlier social formations and phases of the cultural process, in which certain real meanings and values were generated. In the subsequent default of a particular phase of a dominant culture there is then a reaching back to those meanings and values which were created in actual societies and actual situations in the past, and which still seem to have significance because they represent areas of

124

human experience, aspiration, and achievement which the dominant culture neglects, undervalues, opposes, represses, or even cannot recognize.

The case of the emergent is radically different. It is true that in the structure of any actual society, and especially in its class structure, there is always a social basis for elements of the cultural process that are alternative or oppositional to the dominant elements. One kind of basis has been valuably described in the central body of Marxist theory; the formation of a new class, the coming to consciousness of a new class, and within this, in actual process, the (often uneven) emergence of elements of a new cultural formation. Thus the emergence of the working class as a class was immediately evident (for example, in nineteenth-century England) in the cultural process. But there was extreme unevenness of contribution in different parts of the process. The making of new social values and institutions far outpaced the making of strictly cultural institutions, while specific cultural contributions, though significant, were less vigorous and autonomous than either general or institutional innovation. A new class is always a source of emergent cultural practice, but while it is still, as a class, relatively subordinate, this is always likely to be uneven and is certain to be incomplete. For new practice is not, of course, an isolated process. To the degree that it emerges, and especially to the degree that it is oppositional rather than alternative, the process of attempted incorporation significantly begins. This can be seen, in the same period in England, in the emergence and then the effective incorporation of a radical popular press. It can be seen in the emergence and incorporation of working-class writing, where the fundamental problem of emergence is clearly revealed, since the basis of incorporation, in such cases, is the effective predominance of received literary forms—an incorporation, so to say, which already conditions and limits the emergence. But the development is always uneven. Straight incorporation is most directly attempted against the visibly alternative and oppositional class elements: trade unions, working-class political parties, working-class life styles (as incorporated into 'popular' journalism, advertising, and commercial entertainment). The process of emergence, in such conditions, is then a constantly repeated, an always renewable, move beyond a phase of practical incorporation: usually made much more difficult by the fact

that much incorporation looks like recognition, acknowledgement, and thus a form of acceptance. In this complex process there is indeed regular confusion between the locally residual (as a form of resistance to incorporation) and the generally emergent.

Cultural emergence in relation to the emergence and growing strength of a class is then always of major importance, and always complex. But we have also to see that it is not the only kind of emergence. This recognition is very difficult, theoretically, though the practical evidence is abundant. What has really to be said, as a way of defining important elements of both the residual and the emergent, and as a way of understanding the character of the dominant, is that no mode of production and therefore no dominant social order and therefore no dominant culture ever in reality includes or exhausts all human practice. human energy, and human intention. This is not merely a negative proposition, allowing us to account for significant things which happen outside or against the dominant mode. On the contrary it is a fact about the modes of domination, that they select from and consequently exclude the full range of human practice. What they exclude may often be seen as the personal or the private, or as the natural or even the metaphysical. Indeed it is usually in one or other of these terms that the excluded area is expressed, since what the dominant has effectively seized is indeed the ruling definition of the social.

It is this seizure that has especially to be resisted. For there is always, though in varying degrees, practical consciousness, in specific relationships, specific skills, specific perceptions, that is unquestionably social and that a specifically dominant social order neglects, excludes, represses, or simply fails to recognize. A distinctive and comparative feature of any dominant social order is how far it reaches into the whole range of practices and experiences in an attempt at incorporation. There can be areas of experience it is willing to ignore or dispense with: to assign as private or to specialize as aesthetic or to generalize as natural. Moreover, as a social order changes, in terms of its own developing needs, these relations are variable. Thus in advanced capitalism, because of changes in the social character of labour, in the social character of communications, and in the social character of decision-making, the dominant culture reaches much further than ever before in capitalist society into hitherto

'reserved' or 'resigned' areas of experience and practice and meaning. The area of effective penetration of the dominant order into the whole social and cultural process is thus now significantly greater. This in turn makes the problem of emergence especially acute, and narrows the gap between alternative and oppositional elements. The alternative, especially in areas that impinge on significant areas of the dominant, is often seen as oppositional and, by pressure, often converted into it. Yet even here there can be spheres of practice and meaning which, almost by definition from its own limited character, or in its profound deformation, the dominant culture is unable in any real terms to recognize. Elements of emergence may indeed be incorporated, but just as often the incorporated forms are merely facsimiles of the genuinely emergent cultural practice. Any significant emergence, beyond or against a dominant mode, is very difficult under these conditions; in itself and in its repeated confusion with the facsimiles and novelties of the incorporated phase. Yet, in our own period as in others, the fact of emergent cultural practice is still undeniable, and together with the fact of actively residual practice is a necessary complication of the would-be dominant culture.

This complex process can still in part be described in class terms. But there is always other social being and consciousness which is neglected and excluded: alternative perceptions of others, in immediate relationships; new perceptions and practices of the material world. In practice these are different in quality from the developing and articulated interests of a rising class. The relations between these two sources of the emergent—the class and the excluded social (human) area—are by no means necessarily contradictory. At times they can be very close and on the relations between them much in political practice depends. But culturally and as a matter of theory the areas can be seen as distinct.

What matters, finally, in understanding emergent culture, as distinct from both the dominant and the residual, is that it is never only a matter of immediate practice; indeed it depends crucially on finding new forms or adaptations of form. Again and again what we have to observe is in effect a pre-emergence, active and pressing but not yet fully articulated, rather than the evident emergence which could be more confidently named. It is to understand more closely this condition of pre-emergence,

as well as the more evident forms of the emergent, the residual, and the dominant, that we need to explore the concept of structures of feeling.

9. Structures of Feeling

In most description and analysis, culture and society are expressed in an habitual past tense. The strongest barrier to the recognition of human cultural activity is this immediate and regular conversion of experience into finished products. What is defensible as a procedure in conscious history, where on certain assumptions many actions can be definitively taken as having ended, is habitually projected, not only into the always moving substance of the past, but into contemporary life, in which relationships, institutions and formations in which we are still actively involved are converted, by this procedural mode, into formed wholes rather than forming and formative processes. Analysis is then centred on relations between these produced institutions, formations, and experiences, so that now, as in that produced past, only the fixed explicit forms exist, and living presence is always, by definition, receding.

When we begin to grasp the dominance of this procedure, to look into its centre and if possible past its edges, we can understand, in new ways, that separation of the social from the personal which is so powerful and directive a cultural mode. If the social is always past, in the sense that it is always formed, we have indeed to find other terms for the undeniable experience of the present: not only the temporal present, the realization of this and this instant, but the specificity of present being, the inalienably physical, within which we may indeed discern and acknowledge institutions, formations, positions, but not always as fixed products, defining products. And then if the social is the fixed and explicit—the known relationships, institutions, formations, positions—all that is present and moving, all that escapes or seems to escape from the fixed and the explicit and the known, is grasped and defined as the personal: this, here, now, alive, active, 'subjective'.

There is another related distinction. As thought is described, in the same habitual past tense, it is indeed so different, in its explicit and finished forms, from much or even anything that we can presently recognize as thinking, that we set against it more active, more flexible, less singular terms—consciousness, experience, feeling—and then watch even these drawn towards

fixed, finite, receding forms. The point is especially relevant to works of art, which really are, in one sense, explicit and finished forms—actual objects in the visual arts, objectified conventions and notations (semantic figures) in literature. But it is not only that, to complete their inherent process, we have to make them, present, in specifically active 'readings'. It is also that the making of art is never itself in the past tense. It is always a formative process, within a specific present. At different moments in history, and in significantly different ways, the reality and even the primacy of such presences and such processes, such diverse and yet specific actualities, have been powerfully asserted and reclaimed, as in practice of course they are all the time lived. But they are then often asserted as forms themselves, in contention with other known forms: the subjective as distinct from the objective; experience from belief; feeling from thought; the immediate from the general; the personal from the social. The undeniable power of two great modern ideological systems—the 'aesthetic' and the 'psychological'—is, ironically, systematically derived from these senses of instance and process, where experience, immediate feeling, and then subjectivity and personality are newly generalized and assembled. Against these 'personal' forms, the ideological systems of fixed social generality, of categorical products, of absolute formations, are relatively powerless, within their specific dimension. Of one dominant strain in Marxism, with its habitual abuse of the 'subjective' and the 'personal', this is especially true.

Yet it is the reduction of the social to fixed forms that remains the basic error. Marx often said this, and some Marxists quote him, in fixed ways, before returning to fixed forms. The mistake, as so often, is in taking terms of analysis as terms of substance. Thus we speak of a world-view or of a prevailing ideology or of a class outlook, often with adequate evidence, but in this regular slide towards a past tense and a fixed form suppose, or even do not know that we have to suppose, that these exist and are lived specifically and definitively, in singular and developing forms. Perhaps the dead can be reduced to fixed forms, though their surviving records are against it. But the living will not be reduced, at least in the first person; living third persons may be different. All the known complexities, the experienced tensions, shifts, and uncertainties, the intricate forms of unevenness and confusion, are against the terms of the reduction and soon, by

extension, against social analysis itself. Social forms are then often admitted for generalities but debarred, contemptuously, from any possible relevance to this immediate and actual significance of being. And from the abstractions formed in their turn by this act of debarring-the 'human imagination', the 'human psyche', the 'unconscious', with their 'functions' in art and in myth and in dream—new and displaced forms of social analysis and categorization, overriding all specific social conditions, are then more or less rapidly developed.

Social forms are evidently more recognizable when they are articulate and explicit. We have seen this in the range from institutions to formations and traditions. We can see it again in the range from dominant systems of belief and education to influential systems of explanation and argument. All these have effective presence. Many are formed and deliberate, and some are quite fixed. But when they have all been identified they are not a whole inventory even of social consciousness in its simplest sense. For they become social consciousness only when they are lived, actively, in real relationships, and moreover in relationships which are more than systematic exchanges between fixed units. Indeed just because all consciousness is social, its processes occur not only between but within the relationship and the related. And this practical consciousness is always more than a handling of fixed forms and units. There is frequent tension between the received interpretation and practical experience. Where this tension can be made direct and explicit. or where some alternative interpretation is available, we are still within a dimension of relatively fixed forms. But the tension is as often an unease, a stress, a displacement, a latency: the moment of conscious comparison not yet come, often not even coming. And comparison is by no means the only process. though it is powerful and important. There are the experiences to which the fixed forms do not speak at all, which indeed they do not recognize. There are important mixed experiences, where the available meaning would convert part to all, or all to part. And even where form and response can be found to agree, without apparent difficulty, there can be qualifications, reservations, indications elsewhere: what the agreement seemed to settle but still sounding elsewhere. Practical consciousness is almost always different from official consciousness, and this is not only a matter of relative freedom or control. For practical

consciousness is what is actually being lived, and not only what it is thought is being lived. Yet the actual alternative to the received and produced fixed forms is not silence; not the absence, the unconscious, which bourgeois culture has mythicized. It is a kind of feeling and thinking which is indeed social and material, but each in an embryonic phase before it can become fully articulate and defined exchange. Its relations with the already articulate and defined are then exceptionally com-

plex.

This process can be directly observed in the history of a language. In spite of substantial and at some levels decisive continuities in grammar and vocabulary, no generation speaks quite the same language as its predecessors. The difference can be defined in terms of additions, deletions, and modifications, but these do not exhaust it. What really changes is something quite general, over a wide range, and the description that often fits the change best is the literary term 'style'. It is a general change, rather than a set of deliberate choices, yet choices can be deduced from it, as well as effects. Similar kinds of change can be observed in manners, dress, building, and other similar forms of social life. It is an open question—that is to say, a set of specific historical questions—whether in any of these changes this or that group has been dominant or influential, or whether they are the result of much more general interaction. For what we are defining is a particular quality of social experience and relationship, historically distinct from other particular qualities, which gives the sense of a generation or of a period. The relations between this quality and the other specifying historical marks of changing institutions, formations, and beliefs, and beyond these the changing social and economic relations between and within classes, are again an open question: that is to say, a set of specific historical questions. The methodological consequence of such a definition, however, is that the specific qualitative changes are not assumed to be epiphenomena of changed institutions, formations, and beliefs, or merely secondary evidence of changed social and economic relations between and within classes. At the same time they are from the beginning taken as social experience, rather than as 'personal' experience or as the merely superficial or incidental 'small change' of society. They are social in two ways that distinguish them from reduced senses of the social as the institutional and

the formal: first, in that they are changes of presence (while they are being lived this is obvious; when they have been lived it is still their substantial characteristic); second, in that although they are emergent or pre-emergent, they do not have to await definition, classification, or rationalization before they exert palpable pressures and set effective limits on experience and on action.

Such changes can be defined as changes in structures of feeling. The term is difficult, but 'feeling' is chosen to emphasize a distinction from more formal concepts of 'world-view' or 'ideology'. It is not only that we must go beyond formally held and systematic beliefs, though of course we have always to include them. It is that we are concerned with meanings and values as they are actively lived and felt, and the relations between these and formal or systematic beliefs are in practice variable (including historically variable), over a range from formal assent with private dissent to the more nuanced interaction between selected and interpreted beliefs and acted and justified experiences. An alternative definition would be structures of experience: in one sense the better and wider word, but with the difficulty that one of its senses has that past tense which is the most important obstacle to recognition of the area of social experience which is being defined. We are talking about characteristic elements of impulse, restraint, and tone; specifically affective elements of consciousness and relationships; not feeling against thought, but thought as felt and feeling as thought: practical consciousness of a present kind, in a living and interrelating continuity. We are then defining these elements as a 'structure': as a set, with specific internal relations, at once interlocking and in tension. Yet we are also defining a social experience which is still in process, often indeed not yet recognized as social but taken to be private, idiosyncratic, and even isolating, but which in analysis (though rarely otherwise) has its emergent, connecting, and dominant characteristics, indeed its specific hierarchies. These are often more recognizable at a later stage, when they have been (as often happens) formalized, classified, and in many cases built into institutions and formations. By that time the case is different; a new structure of feeling will usually already have begun to form, in the true social present.

Methodologically, then, a 'structure of feeling' is a cultural hypothesis, actually derived from attempts to understand such

elements and their connections in a generation or period, and needing always to be returned, interactively, to such evidence. It is initially less simple than more formally structured hypotheses of the social, but it is more adequate to the actual range of cultural evidence: historically certainly, but even more (where it matters more) in our present cultural process. The hypothesis has a special relevance to art and literature, where the true social content is in a significant number of cases of this present and affective kind, which cannot without loss be reduced to beliefsystems, institutions, or explicit general relationships, though it may include all these as lived and experienced, with or without tension, as it also evidently includes elements of social and material (physical or natural) experience which may lie beyond, or be uncovered or imperfectly covered by, the elsewhere recognizable systematic elements. The unmistakable presence of certain elements in art which are not covered by (though in one mode they may be reduced to) other formal systems is the true source of the specializing categories of 'the aesthetic'. 'the arts', and 'imaginative literature'. We need, on the one hand, to acknowledge (and welcome) the specificity of these elements—specific feelings, specific rhythms—and yet to find ways of recognizing their specific kinds of sociality, thus preventing that extraction from social experience which is conceivable only when social experience itself has been categorically (and at root historically) reduced. We are then not only concerned with the restoration of social content in its full sense, that of a generative immediacy. The idea of a structure of feeling can be specifically related to the evidence of forms and conventions—semantic figures—which, in art and literature, are often among the very first indications that such a new structure is forming. These relations will be discussed in more detail in subsequent chapters, but as a matter of cultural theory this is a way of defining forms and conventions in art and literature as inalienable elements of a social material process: not by derivation from other social forms and pre-forms, but as social formation of a specific kind which may in turn be seen as the articulation (often the only fully available articulation) of structures of feeling which as living processes are much more widely experienced.

For structures of feeling can be defined as social experiences in solution, as distinct from other social semantic formations

which have been precipitated and are more evidently and more immediately available. Not all art, by any means, relates to a contemporary structure of feeling. The effective formations of most actual art relate to already manifest social formations. dominant or residual, and it is primarily to emergent formations (though often in the form of modification or disturbance in older forms) that the structure of feeling, as solution, relates. Yet this specific solution is never mere flux. It is a structured formation which, because it is at the very edge of semantic availability, has many of the characteristics of a pre-formation, until specific articulations—new semantic figures—are discovered in material practice: often, as it happens, in relatively isolated ways, which are only later seen to compose a significant (often in fact minority) generation; this often, in turn, the generation that substantially connects to its successors. It is thus a specific structure of particular linkages, particular emphases and suppressions, and, in what are often its most recognizable forms. particular deep starting-points and conclusions. Early Victorian ideology, for example, specified the exposure caused by poverty or by debt or by illegitimacy as social failure or deviation; the contemporary structure of feeling, meanwhile, in the new semantic figures of Dickens, of Emily Brontë, and others, specified exposure and isolation as a general condition, and poverty, debt, or illegitimacy as its connecting instances. An alternative ideology, relating such exposure to the nature of the social order, was only later generally formed: offering explanations but now at a reduced tension: the social explanation fully admitted, the intensity of experienced fear and shame now dispersed and generalized.

The example reminds us, finally, of the complex relation of differentiated structures of feeling to differentiated classes. This is historically very variable. In England between 1660 and 1690, for example, two structures of feeling (among the defeated Puritans and in the restored Court) can be readily distinguished, though neither, in its literature and elsewhere, is reducible to the ideologies of these groups or to their formal (in fact complex) class relations. At times the emergence of a new structure of feeling is best related to the rise of a class (England, 1700-60); at other times to contradiction, fracture, or mutation within a class (England, 1780–1830 or 1890–1930), when a formation appears to break away from its class norms, though it retains its

substantial affiliation, and the tension is at once lived and articulated in radically new semantic figures. Any of these examples requires detailed substantiation, but what is now in question, theoretically, is the hypothesis of a mode of social formation, explicit and recognizable in specific kinds of art, which is distinguishable from other social and semantic formations by its articulation of presence.